February 16, 2007

The Honorable Richard B. Cheney President of the United States Senate Washington, D.C. 20510

Dear Mr. President:

In accordance with Public Laws 94-409 and 104-66 (5 U.S.C. §552b(j)), I enclose the

U.S. Nuclear Regulatory Commission's Annual Report on the administration of the Government

in the Sunshine Act for Calendar Year 2006.

Sincerely,

/RA/

Dale E. Klein

Enclosure: As stated

Identical letter sent to:

The Honorable Richard B. Cheney President of the United States Senate Washington, D.C. 20510

The Honorable Nancy Pelosi Speaker of the United States House of Representatives Washington, D.C. 20515

### ANNUAL REPORT

### GOVERNMENT IN THE SUNSHINE ACT

- 1. AGENCY NAME: U.S. Nuclear Regulatory Commission
- 2. CALENDAR YEAR: 2006
- 3. CHANGES IN POLICIES AND PROCEDURES DURING PAST YEAR

No changes occurred during CY 2006. The Commission last changed its rules in July 1999, when it issued a <u>Federal Register</u> notice stating that it would implement a rule published in 1985, to amend its regulations applying the Sunshine Act. The amendment changed the definition of "meeting" to incorporate verbatim the Supreme Court's definition from <u>FCC v. ITT World Communications</u>, 466 U.S. 364 (1984), to permit certain non-Sunshine Act discussions which had been foreclosed previously by the NRC's unduly restrictive interpretation of the Sunshine Act. The Commission held one "non-Sunshine Act discussions" during CY 2006.

4. MEETINGS: (January 1, 2006 through December 31, 2006)

Α.	Total Number of Open 49(67%)
В.	Total Number of Closed
C.	Total Number of Partially Open/Closed
	Total Number of Meetings 73

The above meetings are counted by the number of separate agenda topics discussed as described in Appendix A. Appendix B contains a tabulation of open and closed meetings per month for CY 2006. Throughout this report, meeting numbers quoted are counts of individual items.

5. EXEMPTIONS USED FOR CLOSING OR PARTIALLY CLOSING MEETINGS

Exemption 1
Exemption 1 & 3
Exemption 2
Exemption 2 & 3
Exemption 3 & 9
Total

Number of Times Used

# 6. DESCRIPTION OF LITIGATION AND FORMAL COMPLAINTS

None.

7. EXPLANATION OF CHANGES IN LAW

None.

8. ADDITIONAL INFORMATION

This report was prepared in the Office of the Secretary, U.S. Nuclear Regulatory Commission. Comments or inquiries on this report or related matters should be addressed to:

Office of the Secretary U.S. Nuclear Regulatory Commission Washington, D.C. 20555

Telephone inquiries can be made to the Office of the Secretary by dialing (301) 415-1969.

## APPENDICES:

- A. Definition of Meeting
- B. Tabulation of Open and Closed Meetings by Month
- C. Tabulation of Meetings by Days' Notice
- D. Corrected Tabulation of Meetings by Days Notice for CY 2005

# <u>APPENDIX A</u>

### **DEFINITION OF MEETING**

#### NRC's Statutory Meeting Requirement

The Nuclear Regulatory Commission is a five-member independent regulatory commission established by the Energy Reorganization Act of 1974 (P.L. 93-408). It is responsible for ensuring the protection of the public health and safety through the licensing and regulation of the uses of nuclear materials. Section 201(a)(1) of the Energy Reorganization Act, 42 U.S.C. §5841(a)(1), provides that "[a]ction of the Commission shall be determined by a majority vote of the members present." In order to conduct meetings under the Government in the Sunshine Act, a quorum must be present.

#### Meetings Defined by Sunshine Act

The Commission's definition of "meeting" incorporates verbatim the Supreme Court's definition from <u>FCC v. ITT World Communications</u>, 466 U.S. 364 (1984) and is consistent with the approach endorsed by legal experts. The Commission defines "meeting" as:

the deliberations of at least a quorum of Commissioners where such deliberations determine or result in the joint conduct or disposition of official Commission business, that is, where discussions are sufficiently focused on discrete proposals or issues as to cause or to be likely to cause the individual participating members to form reasonably firm positions regarding matters pending or likely to arise before the agency. Deliberations required or permitted by §§9.105, 9.106, or 9.108(c) do not constitute "meetings" within this definition.

10 CFR §9.101(c), Government in the Sunshine Act Regulations. This definition would properly allow some discussions among three or more Commissioners in a non-public setting.

The Natural Resources Defense Council challenged this regulation in the U.S. Court of Appeals for the D.C. Circuit. The lawsuit contested the Commission's May 1999 decision to implement its 1985 rule changing the definition of "meeting." The court's ruling upheld the NRC's actions. <u>Natural Resources Defense Council, et al. v. NRC</u>, 216 F. 3d 1180 (D.C. Cir. 2000).

Under current Commission procedures, generally one agenda item is scheduled for each Commission meeting or briefing. Accordingly, for the purpose of tabulating meetings in this report, each session is counted as a separate meeting even if several sessions or briefings occur on the same day. Appendix B contains meeting statistics reported using the above definition and methods.

# APPENDIX B

# TABULATION OF OPEN AND CLOSED MEETINGS BY MONTH

January 1, 2006 - December 31, 2006

<u>CY 2006</u>	<u>Open</u>	Closed	Open/Closed	<u>Total</u>
Jan	5	2	0	7
Feb	7	2	1	10
Mar	4	2	0	6
Apr	3	1	0	4
Мау	9	3	0	12
Jun	2	3	0	5
Jul	3	2	0	5
Aug	1	0	0	1
Sep	2	3	0	5
Oct	6	1	0	7
Nov	3	0	0	3
Dec	4	4	0	8
Total	49	23	1	73

# APPENDIX C

# TABULATION OF MEETINGS BY DAYS' NOTICE

January 1, 2006 - December 31, 2006

DAYS' NOTICE	<u>OPEN</u>	<u>CLOSED</u>	OPEN/CLOSED	TOTAL
12 or more	37	17	0	54
11	2	1	0	3
10	0	0	0	0
9	0	0	0	0
8	3	0	0	3
7	<u>3</u>	_0	<u>1</u>	_4
Subtotal	45	18	1	64
6	0	1	0	1
5	1	0	0	1
4	0	0	0	0
3	1	1	0	2
2	1	0	0	1
1	0	1	0	1
Less than 1	<u>    1</u>	_2	<u>0</u>	<u>_3</u>
Subtotal	4	5	0	9
GRAND TOTAL	<u>49</u>	<u>23</u>	<u>1</u>	<u>73</u>

## APPENDIX D

# (Corrected Appendix C for CY 2005 Report)

## TABULATION OF MEETINGS BY DAYS' NOTICE

January 1, 2005 - December 31, 2005

DAYS' NOTICE	<u>OPEN</u>	<u>CLOSED</u>	OPEN/CLOSED	<u>TOTAL</u>
12 or more 11 10 9 8 7	25 3 0 5 <u>5</u>	27 1 0 1 0 <u>0</u>	0 0 0 0 <u>0</u>	52 4 0 1 5 5
Subtotal	38	29	0	67
6 5 4 3 2 1 Less than 1	1 0 1 1 1 1 2	0 0 0 0 0 5	0 0 0 0 0 0 0	1 0 1 1 1 1 7
Subtotal	7	5	0	12
GRAND TOTAL	<u>45</u>	<u>34</u>	<u>0</u>	<u>79</u>